

Bolsover District Council

Summary of Internal Audit Recommendations made and implemented 2020/21 – 2022/23 (February 2023)

Recommendations Made	2020/21	2021/22	2022/23
Number of High Priority	1	1	0
Number of Medium Priority	20	13	9
Number of Low priority	32	27	24
Total	53	41	33
Recommendations Implemented	49	39	11
High Recommendations Outstanding	0	1	0
Medium Recommendations Outstanding	2	1	0
Low Recommendations Outstanding	2	0	0
Not overdue yet	0	0	22
Total Overdue Recs	4	2	0
Percentage due implemented	92%	95%	100%

BDC Internal Audit Recommendations Outstanding

2020/21

Report Ref	Audit	Directorate	Conclusion	Recommendations			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
B018	Laptops & Removable Media	Corporate Resources	Reasonable		2	4	6		1L Revised Target April 23	5
B022	Network Security	Corporate Resources	Reasonable		2	1	3		2M 1L Revised Target April 23	
Total					5	10	15		4	5

2021/22

Report Ref	Audit	Directorate	Conclusion	Recommendations			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
B009	Disaster Recovery	Strategy & Development	Substantial			3	3	1		2
B010	30 Year HRA Business Plan	Both	Reasonable	1	2		3		2 (1H 1M)	1
B014	Housing Rents	Strategy & Dev	Substantial		1	1	2			2
Total				1	3	4	8	1	2	5

2022/23

Report Ref	Audit	Directorate	Conclusion	Recommendations			Total	Not Overdue	Overdue	Implemented
				High	Medium	Low				
B001	Cash and Banking	Resources	Substantial				0			0
B002	Food Hygiene	Resources	Reasonable*		2	5	7	3		4
B003	Sundry Debtors	Resources	Substantial				0			0
B004	Risk Management	Resources	Reasonable		1	1	2	2		
B005	Recruitment & Selection	Strategy & Development	Reasonable		1	1	2	1		1
B006	Grounds Maint	Resources	Substantial			2	2			2
B007	Pleasley Vale Business Centre	Strategy & Development	Reasonable		2	4	6	6		
B008	The Tangent Business Centre	Strategy & Development	Reasonable		2	4	6	6		
B009	Corporate Targets	Strategy & Development	Substantial			1	1	1		
B010	Treasury Mgmt	Resources	Substantial				0			0
B011	Creditors	Resources	Substantial				0			0
B012	Transport	Resources	Substantial			4	4			4
B013	Housing Repairs	Strategy & Development	Reasonable		1	2	3 plus 1 disagreed	3		
Total					9	24	33	22		11

BDC Outstanding Internal Audit Recommendations as at February 2023

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
Laptops and Removable Media – March 21	As part of its next review, the Joint Information Security Policy should be examined and updated where appropriate to reflect any lessons learned from the effects of the rapid move to home working experienced during the Covid-19 pandemic.	Low January 22 Revised Target Date April 2023	The Joint ICT Information Security Policy will be reviewed during the implementation of M365, which is currently being trialled. The implementation of M365 will necessitate a significant re-drafting of the policy.
Network Security – June 2021	All policies are reviewed and appropriately version controlled with the relevant versions added and updated on the Joint ICT Intranet site and DDDC SIDD.	End October 2021 Revised Target Date April 2023	Communications have been provided the latest versions and asked to update the Intranets. Policies are being reviewed and updated along side the introduction of M365 as this will introduce additional policies.
Network Security – June 2021	Joint ICT Strategies are updated and where extant removed from the Intranet site and new versions appended as appropriate.	Medium End February 2022 Revised Target date of April 2023	As above

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
Network Security – June 2021	ICT should consider the development of an I-Cloud Policy for NEDDC, BDC and DDDC and include this on the Council’s Joint ICT Intranet Site.	Low End March 2022 Revised target date April 2023	This will be reviewed with the ICT security policy.
HRA Business Plan – December 2021	The 30 Year HRA Business Plan should be revisited at least every 5 years in line with the MTFP to ensure that it is financially viable and meets the objectives of the Council Ambition. Once finalised the 30 year Plan that is currently in development should be formally approved by Council.	High Once finalised then every 5 years	<p>I have been in contact with Glenn at Housing Finance Associates with regard to updating the business plan and I initially was going to work on this with him in October / November, however with the issues with Robert Woodhead Ltd and the council taking sole control of Dragonfly my time during these months was taken up mainly working on this and unfortunately this meant I could not work on the business plan as any free time I had needed to be spent on the revised budgets.</p> <p>The positive news is that I am going to get the information over to Glenn later this week / next week and this will be based on the latest Medium Term Financial Plan which is going to Council in February</p>

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
			2023. I am hopeful that this will allow us to have a revised business plan back from the consultants before we start final accounts.
HRA Business Plan – December 2021	Whilst developing the new HRA 30 Year Business Plan the assumptions made should be stress tested to ensure that the plan is robust i.e. looking at best and worst case scenarios for important factors such as voids, inflation and rent levels.	Medium Once finalised then every 5 years	As above